

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

APOLINAR ROQUE,

Plaintiff,

-against-

ANTHONY ANNUCCI, Commissioner, NYS  
DOCCS; JOSEPH SERGEANT; JOHN DOE,  
Officer in Charge; JOHN DOE, Escorting  
Officer,

Defendants.

23-CV-6906 (LTS)

ORDER DIRECTING ORIGINAL  
SIGNATURE AND PAYMENT OF FEES  
OR IFP APPLICATION AND PRISONER  
AUTHORIZATION

LAURA TAYLOR SWAIN, Chief United States District Judge:

Plaintiff, who is currently incarcerated at Sing Sing Correctional Facility, brings this action *pro se*. To proceed with a civil action in this Court, a prisoner must either pay \$402.00 in fees – a \$350.00 filing fee plus a \$52.00 administrative fee – or, to request authorization to proceed *in forma pauperis* (IFP), that is, without prepayment of fees, submit a signed IFP application and a prisoner authorization. *See* 28 U.S.C. §§ 1914, 1915.

If the Court grants a prisoner’s IFP application, the Prison Litigation Reform Act requires the Court to collect the \$350.00 filing fee in installments deducted from the prisoner’s account.<sup>1</sup> *See* 28 U.S.C. § 1915(b)(1). A prisoner seeking to proceed in this Court without prepayment of fees must therefore authorize the Court to withdraw these payments from his account by filing a “prisoner authorization,” which directs the facility where the prisoner is incarcerated to deduct the \$350.00 filing fee from the prisoner’s account in installments and to send to the Court

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<sup>1</sup> The \$52.00 administrative fee for filing a civil action does not apply to persons granted IFP status under 28 U.S.C. § 1915.

certified copies of the prisoner's account statements for the past six months. *See* 28 U.S.C. § 1915(a)(2), (b).

Plaintiff submitted the complaint without the filing fees or a completed IFP application and prisoner authorization. Within thirty days of the date of this order, Plaintiff must either pay the \$402.00 in fees or submit the attached IFP application and prisoner authorization. If Plaintiff submits the IFP application and prisoner authorization, they should be labeled with docket number 23-CV-6906 (LTS).<sup>2</sup>

Moreover, Plaintiff submitted the complaint without his signature. Rule 11(a) of the Federal Rules of Civil Procedure provides that “[e]very pleading, written motion, and other paper must be signed by at least one attorney of record in the attorney's name – or by a party personally if the party is unrepresented.” *See also* Local Civil Rule 11.1(a). The Supreme Court has interpreted Rule 11(a) to require “as it did in John Hancock's day, a name handwritten (or a mark handplaced).” *Becker v. Montgomery*, 532 U.S. 757, 764 (2001).

Plaintiff is directed to resubmit to the Court the signature page of the complaint, with an original signature, within thirty days of the date of this order. A copy of the signature page is attached to this order.

No summons shall be issued at this time. If Plaintiff complies with this order, the case shall be processed in accordance with the procedures of the Clerk's Office. If Plaintiff fails to comply with this order within the time allowed, the action will be dismissed.

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<sup>2</sup> Plaintiff is cautioned that if a prisoner files a federal civil action or appeal that is dismissed on the grounds that it is frivolous, malicious, or fails to state a claim upon which relief may be granted, the dismissal is a “strike” under 28 U.S.C. § 1915(g). A prisoner who receives three “strikes” cannot file federal civil actions IFP as a prisoner, unless he is under imminent danger of serious physical injury, and he must pay the filing fees at the time of filing any new action.

The Court certifies under 28 U.S.C. § 1915(a)(3) that any appeal from this order would not be taken in good faith, and therefore IFP status is denied for the purpose of an appeal. *Cf. Coppededge v. United States*, 369 U.S. 438, 444–45 (1962) (holding that appellant demonstrates good faith when seeking review of a nonfrivolous issue).

SO ORDERED.

Dated: August 11, 2023  
New York, New York

/s/ Laura Taylor Swain

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LAURA TAYLOR SWAIN  
Chief United States District Judge

*Augie White*

Signature of Plaintiff No 7  
WHITE, G. 18A2663  
Sing Sing Corr. Facility  
354 Hunter Street  
Ossining, New York 10562

*Eugene Lind*

Signature of Plaintiff No 9  
LIND, E. 16A1235  
Sing Sing Corr. Facility  
354 Hunter Street  
Ossining, New York 10562

*Hector L. DeJesus*

Signature of Plaintiff No 11  
DEJESUS, H. 05A4527  
Sing Sing Corr. Facility  
354 Hunter Street  
Ossining, New York 10562

Signature of Plaintiff No 13  
CORBIN, R. 92A0414  
Sing Sing Corr. Facility  
354 Hunter Street  
Ossining, New York 10562

*A. Martinez*

Signature of Plaintiff No 15  
MARTINEZ, A. 17A5137  
Sing Sing Corr. Facility  
354 Hunter Street  
Ossining, New York 10562

Signature of Plaintiff No 17  
SCOTT, C. 15A0299  
Sing Sing Corr. Facility  
354 Hunter Street  
Ossining, New York 10562

*Tom Busssey*

Signature of Plaintiff No 19  
BUSSEY, K. 97A5310  
Sing Sing Corr. Facility  
354 Hunter Street  
Ossining, New York 10562

*Steve Brooks*

Signature of Plaintiff No 8  
BROOKS, B. 11A5244  
Sing Sing Corr. Facility  
354 Hunter Street  
Ossining, New York 10562

*Teddy Williams*

Signature of Plaintiff No 10  
WILLIAMS, T. 05A5627  
Sing Sing Corr. Facility  
354 Hunter Street  
Ossining, New York 10562

Signature of Plaintiff No 12  
ROQUE, A. 08A4179  
Sing Sing Corr. Facility  
354 Hunter Street  
Ossining, New York 10562

*A. Roque*

*David Overton*  
Signature of Plaintiff No 14  
OVERTON, T. 03A2498  
Sing Sing Corr. Facility  
354 Hunter Street  
Ossining, New York 10562

*Deshard Wright*

Signature of Plaintiff No 16  
~~FASHION, M.~~ 12A2429 ~~WRIGHT, D~~ 9682470  
Sing Sing Corr. Facility  
354 Hunter Street  
Ossining, New York 10562

Signature of Plaintiff No 18  
CRANE, M. 15B1683  
Sing Sing Corr. Facility  
354 Hunter Street  
Ossining, New York 10562

*Eric Peke*

Signature of Plaintiff No 20  
PEK, E. 16A1825  
Sing Sing Corr. Facility  
354 Hunter Street  
Ossining, New York 10562

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

(full name of the plaintiff or petitioner applying (each person  
must submit a separate application))

CV

( ) ( )

-against-

(Provide docket number, if available; if filing this with  
your complaint, you will not yet have a docket number.)

(full name(s) of the defendant(s)/respondent(s))

**APPLICATION TO PROCEED WITHOUT PREPAYING FEES OR COSTS**

I am a plaintiff/petitioner in this case and declare that I am unable to pay the costs of these proceedings and I believe that I am entitled to the relief requested in this action. In support of this application to proceed *in forma pauperis* (IFP) (without prepaying fees or costs), I declare that the responses below are true:

1. Are you incarcerated?  Yes  No (If "No," go to Question 2.)

I am being held at: \_\_\_\_\_

Do you receive any payment from this institution?  Yes  No

Monthly amount: \_\_\_\_\_

If I am a prisoner, *see* 28 U.S.C. § 1915(h), I have attached to this document a "Prisoner Authorization" directing the facility where I am incarcerated to deduct the filing fee from my account in installments and to send to the Court certified copies of my account statements for the past six months. *See* 28 U.S.C. § 1915(a)(2), (b). I understand that this means that I will be required to pay the full filing fee.

2. Are you presently employed?  Yes  No

If "yes," my employer's name and address are: \_\_\_\_\_

Gross monthly pay or wages: \_\_\_\_\_

If "no," what was your last date of employment? \_\_\_\_\_

Gross monthly wages at the time: \_\_\_\_\_

3. In addition to your income stated above (which you should not repeat here), have you or anyone else living at the same residence as you received more than \$200 in the past 12 months from any of the following sources? Check all that apply.

(a) Business, profession, or other self-employment  Yes  No

(b) Rent payments, interest, or dividends  Yes  No

(c) Pension, annuity, or life insurance payments	<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
(d) Disability or worker's compensation payments	<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
(e) Gifts or inheritances	<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
(f) Any other public benefits (unemployment, social security, food stamps, veteran's, etc.)	<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
(g) Any other sources	<input type="checkbox"/>	Yes	<input type="checkbox"/>	No

If you answered "Yes" to any question above, describe below or on separate pages each source of money and state the amount that you received and what you expect to receive in the future.

If you answered "No" to all of the questions above, explain how you are paying your expenses:

4. How much money do you have in cash or in a checking, savings, or inmate account?
5. Do you own any automobile, real estate, stock, bond, security, trust, jewelry, art work, or other financial instrument or thing of value, including any item of value held in someone else's name? If so, describe the property and its approximate value:
6. Do you have any housing, transportation, utilities, or loan payments, or other regular monthly expenses? If so, describe and provide the amount of the monthly expense:
7. List all people who are dependent on you for support, your relationship with each person, and how much you contribute to their support (only provide initials for minors under 18):
8. Do you have any debts or financial obligations not described above? If so, describe the amounts owed and to whom they are payable:

*Declaration:* I declare under penalty of perjury that the above information is true. I understand that a false statement may result in a dismissal of my claims.

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Dated

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Signature

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Name (Last, First, MI)

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Prison Identification # (if incarcerated)

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Address

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City

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State

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Zip Code

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Telephone Number

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E-mail Address (if available)

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UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

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(full name of the plaintiff/petitioner)

-against-

CV ( ) ( )

(Provide docket number, if available; if filing this with your  
complaint, you will not yet have a docket number.)

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(full name(s) of the defendant(s)/respondent(s))

**PRISONER AUTHORIZATION**

By signing below, I acknowledge that:

- (1) because I filed this action as a prisoner,<sup>1</sup> I am required by statute (28 U.S.C. § 1915) to pay the full filing fees for this case, even if I am granted the right to proceed *in forma pauperis* (IFP), that is, without prepayment of fees;
- (2) if I am granted IFP status, the full \$350 filing fee will be deducted in installments from my prison account, even if my case is dismissed or I voluntarily withdraw it.

I authorize the agency holding me in custody to:

- (1) send a certified copy of my prison trust fund account statement for the past six months (from my current institution or any institution in which I was incarcerated during the past six months);
- (2) calculate the amounts specified by 28 U.S.C. § 1915(b), deduct those amounts from my prison trust fund, and disburse those amounts to the Court.

This authorization applies to any agency into whose custody I may be transferred and to any other district court to which my case may be transferred.

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Date

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Signature

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Name (Last, First, MI)

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Prison Identification #

---

Address

City

State

Zip Code

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<sup>1</sup> A "prisoner" is "any person incarcerated or detained in any facility who is accused of, convicted of, sentenced for, or adjudicated delinquent for, violations of criminal law or the terms or conditions of parole, probation, pretrial release, or diversionary program." 28 U.S.C. § 1915(h).